

Webcast and Seminar Acronym: CTRE

Title: Regulatory Ethics - Connecticut CPAs

Recommended

CPE Hours: 4 CPE Credit Hours.

Highlights:

Come join Larry Stein as he discusses regulatory ethics for Connecticut CPAs. This course is designed to meet the ethics CPE requirement for Connecticut CPAs. Regulatory Ethics - Connecticut CPAs covers key aspects of The Connecticut Board Of Accountancy Code Of Professional Conduct, Regulations, And Statutory Provisions. This course also covers key aspects of The AICPA Code Of Professional Conduct, as well as key "hot" ethics issues facing tax professionals. Selected case studies will also be used to illustrate the application of certain ethics rules.

Learning Objectives:

Upon the successful completion of this CPE Event, the participants will be able to:

1. Explain **key** provisions of The Connecticut Board Of Accountancy Code Of Professional Conduct - Regulations.
2. Explain **key** provisions of the AICPA Code Of Professional Conduct.
3. Explain the AICPA and the Treasury - IRS standards on "due diligence."

Major Topics:

Chapter One: Connecticut Regulations Governing CPAs

- Connecticut Code Of Professional Conduct (Sec. 20-280-15a).
- The Cornerstones Of CPA Ethics And Professional Conduct (Sec. 29-280-15a (b)).
- Scope And Enforcement Of Rules Of Professional Conduct (Secs. 20-280-15a (c)-(f)).
- Definitions As The Key To Understanding The Connecticut Regulations Governing CPAs. Sec. 20-280-15b.
- Independence - Sec. 20-280-15c (a).

- Integrity And Objectivity - Sec. 20-280-15c (b).
- Prohibition Regarding Paying Commissions To Obtain A Client - Sec. 20-280-15c (c) And Contingent Fees - Sec. 20-280-15c (d); Incompatible Occupations - Sec. 20-280-15c (e).
- Competence And Professional Standards - Sec. 20-280-15c (f)-(l).
- Client Confidentiality - Sec. 20-280-15c (j).
- Client Records And Ownership Of CPA Firm Workpapers - Sec. 20-280-15c (k).
- Discreditable Acts - Sec. 20-280-15c (l)
- Permissible Advertising - Sec. 20-280-15c (n).
- Entities, Form Of Practice And Firm Names - Sec. 20-280-15c (o) And (p).
- Enforcement Complaints And Hearings - Sec. 20-280-16 (And Other Provisions).
- The Practice Of Public Accountancy, Use Of Title "Certified Public Accountant" - Sec. 20-280-20.
- Continuing Professional Education (CPD) - Secs. 20-280-25 (License Renewal And CPE), 20-280-26 (Qualifying CPE), And 20-280-27 (CPE Reporting).
- Quality Reviews - Secs. 20-281 et. seq.
- Connecticut Board Of Accountancy - Secretary Of State Website.

Chapter Two: The AICPA Code Of Professional Conduct - Selected Provisions

- Discreditable Acts.
- Integrity And Objectivity.
- Competence Accounting Principles And Technical Standards.
- Independence And Non-Attest Services.
- Use Of Third Party Services.
- Others; Conceptual Framework; Ethics "Threat Analysis."

Chapter Three: Tax Practice Standards - Selected Considerations

- AICPA And IRS-Treasury Circular 230 - Due Diligence; Quality Control.
- AICPA Vs. IRS-Treasury - Conflicts Of Interests In Tax Practice.

Designed For: CPAs with at least six (6) years of experience. You must have a basic knowledge of knowledge of The Connecticut Board Of Accounting Code Of Professional Conduct, Regulations, And Statutory Provisions as well as The AICPA Code Of Professional Conduct

NASBA Fields Of Study: Regulatory Ethics..

Level: Intermediate.

Presentation Method: Live Lecture With Questions And Answers.

Recommended CPE Credit Hours: 4 CPE credit hours.

Advanced Preparation: Basic knowledge of The Connecticut Board Of Accounting Code Of Professional Conduct, Regulations, And Statutory Provisions as well as The AICPA Code Of Professional Conduct

Prerequisites: Basic knowledge of The Connecticut Board Of Accounting Code Of Professional Conduct, Regulations, And Statutory Provisions as well as The AICPA Code Of Professional Conduct

NOTE: If you have any questions, please email Larry Stein at taxman532@hotmail.com.

Texas Registration: 009838

“I have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of my CPE program.”

Larry Stein Continuing Education LLC
ID# 109111
Lawrence J Stein
9620 NW 82nd Street
Tamarac, FL 33321
Phone: 954.721.5588
Group Internet-Based
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